06-14-06

PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 JUN 1 2 2006 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE ne Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/658,089 Application Number PADEMA **TRANSMITTAL** Filing Date September 9, 2003 **FORM** First Named Inventor Mark A. Reiley (to be used for all correspondence after initial filing) Art Unit 3738 **Examiner Name** David J. Isabella Total Number of Pages in This Submission Attorney Docket Number 29914-701.409 15 (Not include references) ENCLOSURES (Check all that apply) After Allowance communication to Fee Transmittal Form Drawing(s) Technology Center (TC) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final **Proprietary Information** Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) Extension of Time Request Terminal Disclaimer (please identify below): 1. Return receipt postcard 2. PTO/SB/08 Request for Refund Express Abandonment Request 3. Copies of references #297-337 Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY OR AGENT Firm Cecily Anne O'Regan, Reg. No. 37,448, WILSON SONSINI GOODRICH & ROSATI Individual name Signature Date June 12, 2006 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as Express Mail, EXPRESS MAIL LABEL NO.: EV 711415039 US in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Frank Chen

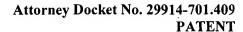
This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

June 12, 2006

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Signature





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Mark A. REILEY

Serial Number: 10/658,089

Filing Date: September 9, 2003

Title: FACET ARTHROPLASTY DEVICES

AND METHODS

Group Art Unit: 3738

Examiner: David J. Isabella

**CONFIRMATION NO: 1396** 

FILED BY EXPRESS MAIL ON: June 12, 2006 EXPRESS MAIL LABEL NO.: EV 711415039 US

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97

Sir:

Applicant hereby submits an Information Disclosure Statement along with attached form PTO/SB/08. A copy of each listed publication is submitted, if required, pursuant to 37 CFR §§1.97-1.98, as indicated below.

Applicant respectfully requests that the listed information be considered by the Examiner and be made of record in the above-identified application. Applicant further requests that the Examiner initial and return the attached form PTO/SB/08 in accordance with MPEP §609.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, prior art or material to patentability as defined in §1.56.

A.	because:	R §1.97	(b). This Information Disclosure Statement should be considered by the Office
		(1)	It is being filed within 3 months of the filing date of a national application and is other than a continued prosecution application under §1.53(d);
			OR
		(2)	It is being filed within 3 months of entry of the national stage as set forth in §1.491 in an international application;
			OR
	$\boxtimes$	(3)	It is being filed before the mailing of a first Office action on the merits;
			OR
		(4)	It is being filed before the mailing of a first Office action after the filing of a request for continued examination under §1.114.
B.	specified in	n <i>37 CF</i> on under ecution	(c). Although this Information Disclosure Statement is being filed after the period $(R, \S1.97(b))$ , above, it is filed before the mailing date of the earlier of (1) a final $\S1.113$ , (2) a notice of allowance under $\S1.311$ , or (3) an action that otherwise on the merits, this Information Disclosure Statement should be considered because y one of:
		a stater	nent as specified in §1.97(e) provided concurrently herewith;
			OR
			f \$180.00 as set forth in \$1.17(p) authorized below, enclosed, or included with the nt of other papers filed together with this statement.
C.	date of the	earlier o	d). Although this Information Disclosure Statement is being filed after the mailing of (1) a final office action under §1.113 or (2) a notice of allowance under §1.311, fore payment of the issue fee and should be considered because it is accompanied
		i. a st	atement as specified in §1.97(e);
			AND
			the of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included the payment of other papers filed together with this Statement.
D.	☐ 37 CFI	R §1.97(	e). Statement.
		A state	ment is provided herewith to satisfy the requirement under 37 CFR §§1.97(c);
			AND/OR
		A state	ment is provided herewith to satisfy the requirement under 37 CFR §§1.97(d);
			AND/OR
		informathe cor	of a dated communication from a foreign patent office clearly showing that the ation disclosure statement is being submitted within 3 months of the filing date on mmunication is provided in lieu of a statement under 37 C.F.R. § 1.97(e)(1) as ed for under MPEP 609.04(b) V.
E.	disclosure application	statemen that wa	er 37 C.F.R. §1.704(d). Each item of information contained in the information at was first cited in a communication from a foreign patent office in a counterpart is received by an individual designated in § 1.56(c) not more than thirty (30) days of this information disclosure statement. This statement is made pursuant to the

		•	ts of 37 C.F.R. §1.704(d) to avoid reduction of the period of adjustment of the patent term nt(s) delay.
F.	$\boxtimes$	37 CFF	$R \S 1.98(a)(2)$ . The content of the Information Disclosure Statement is as follows:
			Copies of each of the references listed on the attached Form PTO/SB/08 are enclosed herewith.
			OR
		$\boxtimes$	Copies of U.S. Patent Documents (issued patents and patent publications) listed on the attached Form PTO/SB/08 are NOT enclosed.
		•	AND/OR
		$\boxtimes$	Copies of Foreign Patent Documents and/or Non Patent Literature Documents listed on the attached Form PTO/SB/08 are enclosed in accordance with 37 CFR §1.98 (a)(2).
			AND/OR
		□ .	Copies of pending unpublished U.S. patent applications are enclosed in accordance with 37 CFR §1.98(a)(2)(iii).
G.		37 CFI Terences.	R §1.98(a)(3). The Information Disclosure Statement includes non-English patents and/or
			Pursuant to 37 CFR §1.98(a)(3)(i), a concise explanation of the relevance of each patent, publication or other information provided that is not in English is provided herewith.
			Pursuant to MPEP 609(B), an English language copy of a foreign search report is submitted herewith to satisfy the requirement for a concise explanation where non-English language information is cited in the search report.
			OR
			A concise explanation of the relevance of each patent, publication or other information provided that is not in English is as follows:
		$\boxtimes$	Pursuant to 37 CFR §1.98(a)(3)(ii), a copy of a translation, or a portion thereof, of the non-English language reference(s) is provided herewith.
			EP 1103226 was published in German. The English language abstract and the drawings are sufficient to convey the scope of its disclosure.
			FR 2726459, FR 2749155, FR 2844180, WO 01/06939 A1, WO 01/28442 A1, WO 02/43603 A1, WO 02/071960 and WO 03/041618 A2 were published in French. The English language abstract and the drawings are sufficient to convey the scope of their disclosure.
			WO 02/02024 A1 was published in Spanish. The English language abstract and the drawings are sufficient to convey the scope of its disclosure.
			Should the Examiner believe that a complete translation of any of the above mentioned publications is necessary to understand their disclosure, Applicant(s) will endeavor to provide such translations at the Examiner's request.
Н.			$R \leq 1.98(d)$ . Copies of patents, publications and pending U.S. patent applications, or other aspecified in 37 C F R $\approx 1.98(a)$ are not provided herewith because:

L	Information Disclosure Statement for another application under which this application claims priority for an earlier effective filing date under 35 U.S.C. 120.
	Application in which the information was submitted:
	Information Disclosure Statement(s) filed on:
	AND
	The information disclosure statement submitted in the earlier application complied with paragraphs (a) through (c) of 37 CFR §1.98.
of \$0.00	authorization. The Commissioner is hereby authorized to charge the above-referenced fees and charge any additional fees or credit any overpayment associated with this ration to Deposit Account No. 23-2415 (Docket No.29914-701.409).
	Respectfully submitted,
	WILSON SONSINI GOODRICH & ROSATI
Dated: June 1	2, 2006 By:
. Saile I	Cecily Anne O'Regan, Reg. No. 37,448
650 Page Mill	$\cdot$
Palo Alto, CA	
JASHI /1014 (1721)	11

Customer No. 021971



PTO/SB/08 (07/05)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

				Complete if Known		
Substitute for	or form 1449	9/PTO		Application Number	10/658,089	
INFORM	IATION :	DISC	LOSURE	Filing Date	September 9, 2003	
STATEM	MENT BY	APP	LICANT.	First Named Inventor	Mark A. Reiley	
(Use as	(Use as many sheets as necessary)			Art Unit	3738	
				Examiner Name	David J. Isabella	
Sheet	1	Of	10	Attorney Docket Number	29914-701.409	

	U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
	143.	US 2002/0013585 A1	01-31-2002	Gournay et al.					
-	144.	US 2002/0013588 A1	01-31-2002	Landry et al.					
	145.	US 2002/0042613 A1	04-11-2002	Mata					
	146.	US 2002/0049446 A1	04-25-2002	Harkey, III et al.					
	147.	US 2002/0065557 A1	05-30-2002	Goble et al.					
	148.	US 2002/0082601 A1	06-27-2002	Toyoma et al.					
	149.	US 2002/0120272 A1	08-29-2002	Yuan et al.					
	150.	US 2002/0123806 A1	09-05-2002	Reiley					
	151.	US 2003/0004572 A1	01-02-2003	Goble et al.					
	152.	US 2003/0040797 A1	02-27-2003	Fallin et al.					
	153.	US 2003/0125740 A1	07-03-2003	Khanna					
	154.	US 2003/0181914 A1	09-25-2003	Johnson et al.					
	155.	US 2003/0191532 A1	10-09-2003	Goble et al.					
	156.	US 2003/0204259 A1	10-30-2003	Goble et al.					
	157.	US 2004/0006391 A1	01-08-2004	Reiley					
	158.	US 2004/0049272 A1	03-11-2004	Reiley					
	159.	US 2004/0049273 A1	03-11-2004	Reiley					
	160.	US 2004/0049275 A1	03-11-2004	Reiley					
	161.	US 2004/0049276 A1	03-11-2004	Reiley					
	162.	US 2004/0049277 A1	03-11-2004	Reiley					
	163.	US 2004/0049278 A1	03-11-2004	Reiley					
	164.	US 2004/0049281 A1	03-11-2004	Reiley					
	165.	US 2004/0111154 A1	06-10-2004	Reiley					
	166.	US 2004/0230201 A1	11-18-2004	Yuan et al.					

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08 (07/05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
to a collection of information unless it contains a solid Oxford.

				Complete if Known		
Substitute for form 1449/PTO				Application Number	10/658,089	
INFORM	IATION	DISCI	LOSURE	Filing Date	September 9, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Mark A. Reiley	
· (Use as	(Use as many sheets as necessary)			Art Unit	3738	
				Examiner Name	David J. Isabella	
Sheet	2	Of	10	Attorney Docket Number	29914-701.409	

U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	167.	US 2004/0230304 A1	11-18-2004	Yuan et al.				
	168.	US 2005/0010291 A1	01-13-2005	Stinson et al.				
	169.	US 2005/0015146 A1	01-20-2005	Louis et al.				
	170.	US 2005/0027361 A1	02-03-2005	Reiley				
	171.	US 2005/0033434 A1	02-10-2005	Berry				
	172.	US 2005/0043799 A1	02-24-2005	Reiley	·			
	173.	US 2005/0055096 A1	03-10-2005	Serhan et al.				
	174.	US 2005/0080428 A1	04-14-2005	White				
	175.	US 2005/0085912 A1	04-21-2005	Arnin et al.				
	176.	US 2005/0102028 A1	05-12-2005	Arnin et al.				
	177.	US 2005/0119748 A1	06-02-2005	Reiley et al.				
	178.	US 2005/0131406 A1	06-16-2005	Reiley et al.	\			
	179.	US 2005/0137705 A1	06-23-2005	Reiley	14.10			
	180.	US 2005/0137706 A1	06-23-2005	Reiley				
	181.	US 2005/0143818 A1	06-30-2005	Yuan et al.				
	182.	US 2005/0149190 A1	07-07-2005	Reiley				
	183	US 2005/0177240 A1	08-11-2005	Blain	·			
	184.	US 2005/0187560 A1	08-25-2005	Dietzel et al.				
	185.	US 2005/0192589 A1.	09-01-2005	Raymond et al.				
	186.	US 2005/0234552 A1	10-20-2005	Reiley				
	187.	US 2005/0235508 A1	10-27-2005	Augostino et al.				
	188.	US 2005/0240264 A1	10-27-2005	Tokish, JR. et al.				
	189.	US 2005/0240265 A1	10-27-2005	Kuiper et al.				
-	190.	US 2005/0240266 A1	10-27-2005	Kuiper et al.				

Examiner	Date	
Signature	Consid	ered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the	paperwork Re	duction A	ct of 1995, no perso		formation unless it contains a valid OMB control number.
				Con	mplete if Known
Substitute fo	or form 1449	9/PTO		Application Number	10/658,089
INFORM	1ATION	DISC	LOSURE	Filing Date	September 9, 2003
			LICANT	First Named Inventor	Mark A. Reiley
(Use as	many sheet	s as nec	cessary)	Art Unit	3738
			Examiner Name	David J. Isabella	
Sheet	3	Of	10	Attorney Docket Number	29914-701.409

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	191.	US 2005/0251256 A1	11-10-2005	Reiley			
	192.	US 2005/0261770 A1	11-24-2005	Kuiper et al.			
	193.	US 2005/0267579 A1	12-01-2005	Reiley et al.			
	194.	US 2005/0283238 A1	12-22-2005	Reiley	_		
	195.	US 2006/0009847 A1	01-12-2006	Reiley			
	196.	US 2006/0009848 A1	01-12-2006	Reiley .			
	197.	US 2006/0009849 A1	01-12-2006	Reiley			
	198.	US 2006/0041211 A1	02-23-2006	Hawkinson			
•	199.	US 2006/0041311 A1	02-23-2006	McLeer			
	200.	US 2006/0052785 A1	02-09-2006	Augostino et al.			
	201.	US 2006/0058791 A1	03-16-2006	Broman et al.			
	202.	US 2006/0079895 A1	04-13-2006	McLeer			
	203.	US 2006/0085072 A1	04-20-2006	Funk et al.			
	204.	US 2006/0085075 A1	04-20-2006	McLeer			
	205.	US 2006/0100707 A1	05-11-2006	Stinson et al.			
	206.	US 2006/0100709 A1	05-11-2006	Reiley et al.			
	207.	US 3,596,656	08-03-1971	Kaute			
	208.	US 4,040,130	08-09-1977	Laure			
	209.	US 4,156,296	05-29-1979	Johnson et al.			
	210.	US 4,210,317	07-01-1980	Spann et al.			
	211.	US 4,231,121	11-04-1980	Lewis			
	212.	US 4,394,370	07-19-1983	Jefferies			
	213.	US 4,472,840	09-25-1984	Jefferies			
	214.	US 4,611,581	09-16-1986	Steffee			

Examiner		Date	
Signature	·	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. Do Not SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the paperwork Reduction Act of 1995, no persons required to respond to a collection of information unless it contains a valid OMB control number.

				Complete if Known					
Substitute for form 1449/PTO				Application Number	10/658,089				
INFORMATION DISCLOSURE				Filing Date	September 9, 2003				
			LICANT	First Named Inventor	Mark A. Reiley				
(Use a.	s many sheet	ts as ne	cessary)	Art Unit	3738				
			*	Examiner Name	David J. Isabella				
Sheet	4	Of	10	Attorney Docket Number	29914-701.409				

		<b>U.S. P</b> .	ATENT DOC	UMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	215.	US 4,805,602	02-21-1989	Puno et al.	
	216.	US 4,955,916	09-11-1990	Carignan et al.	
	217.	US 5,000,165	03-19-1991	Watanabe	
•	218.	US 5,019,081	05-28-1991	Watanabe	
	219.	US 5,062,845	11-05-1991	Kuslich et al.	
	220.	US 5,108,399	04-28-1992	Eitenmuller et al.	
	221.	US 5,129,900	07-14-1992	Asher et al.	
	222.	US 5,284,655	02-08-1994	Bogdansky et al.	
	223.	US 5,312,409	05-17-1994	McLaughlin et al.	
	224.	US 5,314,476	05-24-1994	Prewett et al.	·
	225.	US 5,314,486	05-24-1994	Zang et al.	
	226.	US 5,350,380	09-27-1994	Goble et al.	
	227.	US 5,405,390	04-11-1995	O'Leary et al.	
	228.	US 5,458,641	10-17-1995	Ramirez Jimenez	
	229.	US 5,474,555	12-12-1995	Puno et al.	
	230.	US 5,510,396	04-23-1996	Prewett et al.	· · · · · · · · · · · · · · · · · · ·
	231.	US 5,569,247	10-29-1996	Morrison	
-	232.	US 5,575,792	11-19-1996	Errico et al.	
	233.	US 5,587,695	12-24-1996	Warmerdam	<u> </u>
-	234.	US 5,609,641	03-11-1997	Johnson et al.	
	235.	US 5,643,263	07-01-1997	Simonson	
	236.	US 5,649,930	07-22-1997	Kertzner	
	237.	US 5,658,338	08-19-1997	Tullos et al.	
	238.	US 5,662,651	09-02-1997	Tornier et al.	

Examiner	•	Date	
Signature		Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the paperwork Reduction Act of 1995, no persons required to respond to a collection of information unless it contains a valid OMB control number

				Complete if Known			
Substitute f	Substitute for form 1449/PTO			Application Number	10/658,089		
INFORM	ATION	DISC	LOSURE	Filing Date	September 9, 2003		
STATE	MENT BY	APP	LICANT	First Named Inventor	Mark A. Reiley		
(Use a	s many shee	ts as nec	cessary)	Art Unit	3738		
			Examiner Name	David J. Isabella			
Sheet	5	Of	10	Attorney Docket Number	29914-701.409		

		U.S. P	ATENT DOC	UMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	239.	US 5,683,391	11-04-1997	Boyd	
	240.	US 5,683,392	11-04-1997	Richelsoph et al.	
	241.	US 5,688,274	11-18-1997	Errico et al.	
	242.	US 5,690,630	11-25-1997	Errico et al.	
	243.	US 5,704,941	01-06-1998	Jacober et al.	
	244.	US 5,725,527	03-10-1998	Biedermann et al.	
	245.	US 5,738,585	04-14-1998	Hoyt, III et al.	
	246.	US 5,741,255	04-21-1998	Krag et al.	
	247.	US 5,766,253	06-16-1998	Brosnahan, III	
	248.	US 5,782,833	07-21-1998	Haider	
	249.	US 5,797,911	08-25-1998	Sherman et al.	
	250.	US 5,800,433	09-01-1998	Benzel et al.	
	251.	US 5,827,289	10-27-1998	Reiley et al.	
,	252.	US 5,863,293	01-26-1999	Richelsoph	
	253.	US 5,866,113	02-02-1999	Hoensbroek et al.	
	254.	US 5,879,350	03-09-1999	Sherman et al.	
	255.	US 5,885,285	03-23-1999	Simonson	
	256.	US 5,891,145	04-06-1999	Morrison et al.	
	257.	US 5,947,893	09-07-1999	Agrawal et al.	
	258.	US 5,964,760	10-12-1999	Richelsoph	
	259.	US 6,010,503	01-04-2000	Richelsoph et al.	All Market Control of the Control of
	260.	US 6,019,759	02-01-2000	Rogozinski	
	261.	US 6,022,350	02-08-2000	Ganem	
	262.	US 6,074,391	06-13-2000	Metz-Stavenhagen et al.	

Examiner	Date	
Signature	Considered	·

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 600. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the paperwork Reduction Act of 1995, no persons required to resi

				Complete if Known				
Substitute f	Substitute for form 1449/PTO INFORMATION DISCLOSURE			Application Number	10/658,089			
INFORM				Filing Date	September 9, 2003			
STATE	MENT BY	APP	LICANT	First Named Inventor	Mark A. Reiley			
(Use a	s many sheet	s as nec	cessary)	Art Unit	3738			
			Examiner Name	David J. Isabella				
Sheet	6	Of	10	Attorney Docket Number	29914-701.409			

Evenine	1 04-		ATENT DOC		
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	263.	US 6,077,262	06-20-2000	Schläpfer et al.	
	264.	US 6,086,590	07-11-2000	Margulies et al.	
	265.	US 6,090,111	07-18-2000	Nichols	
	266.	US 6,132,430	10-17-2000	Wagner	
	267.	US 6,190,388 B1	02-20-2001	Michelson et al.	
	268.	US 6,214,012 B1	04-10-2001	Karpman et al.	
	269.	US 6,231,575 B1	05-15-2001	Krag	
	270.	US 6,248,105 B1	06-19-2001	Schläpfer et al.	
	271.	US 6,280,443 B1	08-28-2001	Gu et al.	
	272.	US 6,290,703 B1	09-18-2001	Ganem	
	273.	US 6,309,391 B1	10-30-2001	Crandall et al.	
	274.	US 6,340,361 B1	. 01-22-2002	Kraus et al.	
	275.	US 6,340,477 B1	01-22-2002	Anderson	
	276.	US 6,342,054 B1	01-29-2002	Mata	:
	277.	US 6,368,320 B1	04-09-2002	Le Couedic et al.	
	278.	US 6,451,021 B1	09-17-2002	Ralph et al.	
	279.	US 6,471,705 B1	10-29-2002	Biedermann et al.	
	280.	US 6,514,253 B1	02-04-2003	Yao	
	281.	US 6,520,963 B1	02-18-2003	McKinley	
_	282.	US.6,524,315 B1	02-25-2003	Selvitelli et al.	
	283.	US 6,540,749 B2	04-01-2003	Schäfer et al.	
•	284.	US 6,547,790 B2	04-15-2003	Harkey, III et al.	
	285.	US 6,554,843 B1	04-29-2003	Ou	
	286.	US 6,565,565 B1	05-20-2003	Yuan et al.	

Examiner	 Date	
Signature	Considered	· ·

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under th	e paperwork R	eduction Ac	t of 1995, no perso		formation unless it contains a valid OMB control number
				Cor	mplete if Known
Substitute for form 1449/PTO				Application Number	10/658,089
INFORMATION DISCLOSURE				Filing Date	September 9, 2003
STATE	MENT B	Y APP	LICANT	First Named Inventor	Mark A. Reiley
(Use a	s many shee	ts as nec	essary)	Art Unit	3738 .
			Examiner Name	David J. Isabella	
Sheet	7	Of	10	Attorney Docket Number	29914-701.409

	U.S. PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
	287.	US 6,572,617 B1	06-03-2003	Senegas					
	288.	US 6,585,769 B1	07-01-2003	Muhanna et al.					
	289.	US 6,712,849 B2	03-30-2004	Re et al.					
	290.	US 6,749,361 B2	06-15-2004	Hermann et al.					
	291.	US 6,770,095 B2	08-03-2004	Grinberg et al.					
	292.	US 6,793,678 B2	09-21-2004	Hawkins					
	293.	US 6,811,567 B2	11-02-2004	Reiley					
•	294.	US 6,902,580 B2	06-07-2005	Fallin et al.					
	295.	US 6,949,123	09-27-2005	Reiley					
	296.	US 6,974,478 B2	12-13-2005	Reiley					

		FOREIGN	PATENT DO	OCUMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>e</sub>
	297.	EP 1103226 (in German with English Abstract)	05-30-2001	Schaefer, Bernd		
	298.	EP 1205152 A1	05-15-2002	Lafitt, S.A.		
	299.	EP 1254639 A1	11-06-2002	Industrias Quirurgicas De Levante, S.L.		
	300.	FR 2726459 (in French with English Abstract)	05-10-1996	Desauge Jean Pierre		
	301.	FR 2749155 (in French with English Abstract)	12-05-1997	Albert P. Alby		
	302.	FR 2844180 (in French with English Abstract)	03-12-2004	Spinevision		
	303.	WO 01/06939 A1 (in French with English Abstract)	02-01-2001	Eurosurgical		

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete his form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08 (07/05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE to a collection of information unless the control of the commence of the commence of the control of the commence of the commence of the control of the commence of the control Under the paperwork Reduction Act of 1995, no persons required to respond to a collection of information unless it contains a valid OMB control number.

	•			Con	nplete if Known		
Substitute fo	or form 1449	PTO		Application Number	10/658,089		
INFORM	IATION I	DISC	LOSURE	Filing Date	September 9, 2003		
	STATEMENT BY APPLICANT			First Named Inventor	Mark A. Reiley		
(Use as	many sheets	s as ne	cessary)	Art Unit	3738		
_				Examiner Name	David J. Isabella		
Sheet	8	Of	10	Attorney Docket Number 29914-701.409			

		FOREIGN	PATENT DO	OCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> – Number <sup>4</sup> – Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sub>e</sub>
	304.	WO 01/15638 A1	03-08-2001	Cauthen Research Group, Inc.		
	305.	WO 01/28442 A1 (in French with English Abstract)	04-26-2001	Spine Next		
	306.	WO 01/67972 A2	09-20-2001	SDGI Holdings, Inc.		
	307.	WO 02/00270 A1	01-03-2002	Victrex Manufacturing Ltd.		
	308.	WO 02/00275 A1	01-03-2002	Victrex Manufacturing Ltd.		
	309.	WO 02/02024 A1 (in Spanish with English Abstract)	01-10-2002	Surgival Co., S.A.		
	310.	WO 02/02158 A1	01-10-2002	Victrex Manufacturing Ltd.		
	311.	WO 02/43603 A1 (in French with English Abstract)	06-06-2002	Graf		
	312.	WO 02/071960 A1 (in French with English Abstract)	09-19-2002	Spine Next		
	313.	WO 02/34150 A2	05-02-2002	SDGI Holdings, Inc.		
	314.	WO 03/041618 A2 (in French with English Abstract)	05-22-2003	Louis et al.		
	315.	WO 03/075805 A1	09-18-2003	Chin		
	316.	WO 2004/103228 A1	12-02-2004	Archus Orthopedics, Inc.		
	317.	WO 2005/009301 A1	02-03-2005	Archus Orthopedics, Inc.		

Examiner	,	Date	
Signature		Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE a collection of information unless it contains a valid OMB control number

				Con	nplete if Known
Substitute f	or form 144	9/PTO		Application Number	10/658,089
INFORM	ATION	DISC	LOSURE	Filing Date	September 9, 2003
STATEMENT BY APPLICANT				First Named Inventor	Mark A. Reiley
(Use a	s many shee	ts as nec	essary)	Art Unit	3738
				Examiner Name	David J. Isabella
Sheet	9	Of	10	Attorney Docket Number	29914-701.409

Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
	318.	ABRAHAM, D.J. et al. Indications And Trends In Use In Cervical Spinal Fusions. Orthop Clin North Am. October 1998; 29(4):731-44.	
	319.	EICHHOLZ, K.M. et al. Complications of Revision Spinal Surgery, Neurosurg Focus; (September 15, 2003), 15(3): pp. 1-4.	
	320.	FARFAN, H.F. Effects Of Torsion On The Intervertebral Joints. <i>The Canadian Journal of Surgery</i> July 1969; 12(3):336-41.	
-	321.	FARFAN, H.F. The Pathological Anatomy Of Degenerative Spondylolisthesis. A Cadaver Study. Spine. 1980 Sep-Oct; 5(5):412-8.	
	322.	FARFAN, H.F. et al. The Relation Of Facet Orientation To Intervertebral Disc Failure. <i>The Canadian Journal of Surgery</i> April 1967; 10(2):179-85.	
	323.	FOSBINDER, R.A. et al. Essentials of Radiologic Science. The McGraw-Hill Companies; 2002.	
	324.	GUYER R. et al. Impliant: Motion Preservation through Total Posterior-Element Replacement. May 7, 2004 Presentation held at Hofburg Center, Vienna, Austria, (2 pages).	
1	325.	KIRKALDY-WILLIS, W.H. et al. Pathology And Pathogenesis Of Lumbar Spondylosis And Stenosis. <i>Spine</i> . Dec. 1978; 3(4):319-28.	
	326.	KULKARNI, et al. Accelerated Spondylotic Changes Adjacent to the Fused Segment Following Central Cervical Corpectomy: Magnetic Resonance Imaging Study Evidence. <i>J. Neurosurg</i> (Spine 1). 2004; 100: 2-6.	
	327.	LAM, K. N., et al. X-ray Diagnosis: A Physician's Approach. Springer-Verlag; 1998.	

	 _		
Examiner	Date		
Signature	Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST .3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST .16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the paperwork Reduction Act of 1995, no persons required to respond to a collection of information unless it contains a valid OMB control number.

			-	Coi	nplete if Known
Substitute fo	or form 1449	9/PTO		Application Number	10/658,089
INFORM	IATION	DISC	LOSURE	Filing Date	September 9, 2003
	STATEMENT BY APPLICANT			First Named Inventor	Mark A. Reiley
(Use as	many sheet	ts as nec	cessary)	Art Unit	3738
		•		Examiner Name	David J. Isabella
Sheet	10	Of	10	Attorney Docket Number	29914-701.409

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
	328.	LOMBARDI, J.S. et al. Treatment Of Degenerative Spondylolisthesis. Spine. 1985; 10(9): 821-7.	
	329.	MCMILLIN, C. R. et al. Artificial Spinal Discs with up to Five Years Follow-up. 20th Annual Meeting of the Society for Biomaterials (Abstract) 1994; Page 89.	
	330.	POSNER, I. et al. A Biomechanical Analysis of the Clinical Stability of the Lumbar and Lumbosacral Spine. <i>Spine</i> . 1982; 7(4): 374-389.	
	331.	ROSENBERG, N.J. Degenerative Spondylolisthesis. Predisposing Factors. <i>The Journal of Bone and Joint Surgery.</i> 1975; 57-A(4): 467-74.	
	332.	SACHER, R., Impliant Brochure for presentation at MedTech Insight Conference (October 31, 2003) Boston, MA. pp. 93-94.	
	333.	SLONE, R. M. et al. Body CT: A Practical Approach. The McGraw-Hill Companies; 1999.	
	334.	STOUT, G. H. et al. X-Ray Structure Determination: A Practical Guide. 2nd Edition. John Wiley & Sons; 1989.	
	335.	SZPALSKI, M., et al. Spine Arthroplasty: A Historical Review. Eur Spine J. 2002; 11(Suppl. 2): S65-S84.	
	336.	UCR Pedicle Screw System from SeaSpine (information available at http://www.seaspine.com/UCR_Pedicle_Screw_System.html). Accessed December 5, 2005.	
	337.	Victrex of Lancashire, Great Britain. (information on Victrex available at http://www.matweb.com). Accessed December 5, 2005.	

	Examiner	Date
i	Signature	Considered

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.